

# 2021-22 Annual Report

# SOUTH AUSTRALIAN EMPLOYMENT TRIBUNAL

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Date presented to Minister: 12 September 2022

To:

The Honourable Kyam Maher MLC

Minister for Industrial Relations and Public Sector

Pursuant to s 89 of the *South Australian Employment Tribunal Act 2014*, the President of the Tribunal must on or before 31 October in each year make a report to the Minister on the administration and operation of the Tribunal during the previous financial year.

This annual report is to be presented to Parliament to meet the statutory reporting requirements of *South Australian Employment Tribunal Regulations 2015* and meets the requirements of Premier and Cabinet Circular *PC013 Annual Reporting*.

This report is verified to be accurate for the purposes of annual reporting to the Parliament of South Australia.

Submitted on behalf of the South Australian Employment Tribunal by:

The Honourable Justice Steven Dolphin

President

Signature 12 September 2022

**Wendy Wakefield** 

Registrar

Wuktehield
12 September 2022

Signature

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# SECTION A: REPORTING REQUIRED UNDER THE *PUBLIC SECTOR ACT* 2009, THE *PUBLIC SECTOR REGULATIONS 2010* AND THE *PUBLIC FINANCE AND AUDIT ACT* 1987

#### AGENCY PURPOSE OR ROLE

The South Australian Employment Tribunal (SAET) is established under the *South Australian Employment Tribunal Act 2014* (SAET Act). SAET is a statutory independent tribunal and court that:

- resolves return to work disputes
- resolves certain employment and industrial disputes
- regulates South Australia's industrial awards, agreements and registers
- determines work related prosecutions
- · resolves dust disease matters

SAET aims to resolve disputes quickly and fairly through alternative dispute resolution. If a negotiated resolution is not achieved, the disputed matter will be formally heard and determined.

#### **OBJECTIVES**

# Section 8 of the SAET Act provides:

The main objectives of the Tribunal in dealing with matters within its jurisdiction are:

- (a) in the exercise of its jurisdiction, to promote the best principles of decision making, including—
  - (i) independence in decision making; and
  - (ii) natural justice and procedural fairness; and
  - (iii) high quality, consistent decision making; and
  - (iv) transparency and accountability in the exercise of statutory functions, powers and duties; and
- (b) to be accessible by being easy to find and easy to access, and to be responsive to parties, especially people with special needs; and
- (c) to ensure that applications are processed and resolved as quickly as possible while achieving a just outcome, including by resolving disputes through high quality processes and the use of mediation and alternative dispute resolution procedures wherever appropriate; and
- (d) to keep costs to parties involved in proceedings before the Tribunal to a minimum insofar as is just and appropriate; and
- (e) to use straightforward language and procedures (including, insofar as is reasonably practicable and appropriate, by using simple and standardised forms); and
- (f) to act with as little formality and technicality as possible, including by informing itself in such manner as the Tribunal thinks fit; and
- (g) to be flexible in the way in which the Tribunal conducts its business and to adjust its procedures to best fit the circumstances of a particular case or a particular jurisdiction.

#### **LEGISLATION ADMINISTERED BY THE AGENCY**

### **Establishing Act**

South Australian Employment Tribunal Act 2014

#### **Conferring Acts**

Return to Work Act 2014

Fair Work Act 1994

Fair Work Act 2009 (Commonwealth)

Construction Industry Long Service Leave Act 1987

Dust Diseases Act 2005

Education and Children's Services Act 2019

Equal Opportunity Act 1984 (for matters referred pursuant to s 95B only)

Fire and Emergency Services Act 2005

Industrial Referral Agreements Act 1986

Long Service Leave Act 1987

Police Act 1998

Public Sector Act 2009

Technical and Further Education Act 1975

Training and Skills Development Act 2008

Work Health and Safety Act 2012

#### **ORGANISATION OF THE TRIBUNAL**

#### **Presidential Members**

President Justice Dolphin

Deputy President Judge Gilchrist

Deputy President Judge Calligeros

Deputy President Judge Kelly

Deputy President Judge Rossi

Deputy President Judge Crawley

Deputy President Magistrate Lieschke

Deputy President Magistrate Cole

# Registrars

Registrar Wakefield

Deputy Registrar Jhunjhunwala

Deputy Registrar Burchell

#### Commissioners

Commissioner Willson

Commissioner Neale

Commissioner Byrt

**Commissioner Carrel** 

Commissioner Doggett

Commissioner McMahon

Commissioner Georgeff

Commissioner Nardone

Commissioner Ward

Commissioner Cairney

Commissioner Sullivan

Commissioner Williams

Commissioner McDonald

Commissioner Kassapidis (commenced 13 September 2021)

#### **Supplementary Commissioners**

Commissioner Hodge (commenced 6 June 2022)

Commissioner Sexton (commenced 6 June 2022)

**Supplementary Panel Members** are appointed by Statute and are asked to hear matters at the discretion of the President.

Staff assigned to SAET pursuant to s 74 of the SAET Act.

# SECTION B: REPORTING REQUIRED UNDER ANY OTHER ACT OR REGULATION

#### SOUTH AUSTRALIAN EMPLOYMENT TRIBUNAL REGULATIONS 2015

# 9—Annual report

For the purposes of section 89(3) of the Act, a report must include the following particulars in respect of the financial year to which the report relates:

- (a) information relating to each category of reviewable decision considered by the Tribunal under the Return to Work Act 2014 (according to the section of the Return to Work Act 2014 under which the original decision was made) as follows:
  - the number of applications considered by the Tribunal for each category of decision;
  - the proportion of the total number of disputes considered by the Tribunal under Part 6 of the Return to Work Act 2014 that each category of decision represents;
  - (iia) the number of matters subject to a pre-hearing conference under section 45 of the Act for each category of decision;
  - (iib) the number of matters proceeding to a full hearing before the Tribunal for each category of decision;
  - (iii) a summary of the range of periods of time taken for each category of decision between the receipt of applications by the Tribunal and the resolution of matters (in relation to matters resolved in the relevant financial year).
- (ab) the number of actions for damages commenced before the South Australian Employment Court under Part 5 of the Return to Work Act 2014;
- (ac) the number of proceedings concluded under Part 5 of the Return to Work Act 2014;
- (b) the number of applications made to the Tribunal for an expedited determination of a matter under section 113 of the Return to Work Act 2014;
- (c) the number of extension of time applications granted by the Tribunal under section 100 of the Return to Work Act 2014;
- (d) the number of referrals made to independent medical advisers by the Tribunal under section 121 of the Return to Work Act 2014;
- (da) information relating to each category of proceedings considered by the Tribunal in respect of jurisdiction conferred on it by another Act (according to the section of the Act under which the application for the proceedings was made).
- (e) the number of reviews and appeals instituted under Part 5 of the Act.

# 1. Return to Work Act 2014 applications – performance reporting

The focus for 2021-22 continued to be on timely, efficient and effective dispute resolution for Return to Work matters. SAET received a total of 5322 *Return to Work Act 2014* (RTW Act) applications. This is a 19% increase in RTW applications received compared to the 2020-21 financial year.

Table 1.1 Applications Received and Resolved

	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Total
Applications	470	475	400	400	404	4.47	0.40	004	405	070	400	404	F200
Received	470	475	499	428	464	447	340	394	485	379	480	461	5322
YTD No.													
Received	470	945	1444	1872	2336	2783	3123	3517	4002	4381	4861	5322	
Applications										0			T *:
Resolved	370	355	425	390	524	484	332	461	541	426	468	500	5276
YTD No.													- 40-5- 31-
Resolved	370	725	1150	1540	2064	2548	2880	3341	3882	4308	4776	5276	

Of the 5277 RTW Act cases resolved in 2021-22, 67% (3538) were resolved at conciliation, and 33% (1738) were resolved at hearing and determination.

Table 1.2 Applications Resolved in Conciliation or Hearing and Determination

	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Total
Resolved at Conciliation	267	251	315	274	339	289	214	299	345	265	328	352	3538
Resolved at Hearing &										8			
Determination	103	104	110	116	185	195	118	162	196	161	140	148	1738

The majority of RTW Act cases received (81%) were applications about reviewable decisions.

Table 1.3 Applications Received and Resolved

Application Type	Received 2021-22	Resolved 2021-22	Received 2020-21	Resolved 2020-21
Reviewable Decisions (s97)	4332	4280	3514	3134
Expedited Decisions (s113)	962	958	905	912
Suitable Employment (s18)	28	38	49	61
Part 5 RTW Act damages actions	0	0	0	0
Total Lodged within SAET	5322	5276	4468	4107

The clearance rate is the number of resolved disputes as a percentage of lodged disputes. It is an indication of how effectively a court or tribunal is managing its case load. The clearance rate for RTW Act applications increased from 92% to 99%.

Table 1.4 Clearance Rates

	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
Monthly	79%	75%	85%	91%	113%	108%	98%	117%	112%	112%	98%	108%
Year to Date	79%	77%	80%	82%	88%	92%	92%	95%	97%	98%	98%	99%

#### 2021-22 ANNUAL REPORT for the SOUTH AUSTRLIAN EMPLOYMENT TRIBUNAL

#### **SAET Reviewable decisions**

Table 1.5 SAET Reviewable Decisions by Category and Time to Resolution

				Percent	age resolv	ed (3)		Pre-hearing Conference (4)	Hearing (5)
Reviewable Decision	Applications (1)	% of Total Lodged (2)	0-3 months	3-6 months	6-9 months	9-12 months	12+ months	(4)	
Average weekly earnings	192	4%	54%	27%	8%	3%	7%	20	0
Rejection of claim for physical injury	1868	43%	20%	24%	15%	10%	30%	269	48
Rejection of claim for mental injury	544	13%	27%	33%	12%	9%	19%	110	15
Territorial application of the Act	1	0%	0%	0%	0%	0%	0%	0	0
Employer's duty to provide work	0	0%	0%	0%	0%	50%	50%	0	0
Interim decisions about serious injury	66	2%	11%	7%	9%	13%	60%	24	4
Return to work services and plans	82	2%	47%	26%	14%	4%	10%	5	0
Re-determination of a claim/employer applications	105	2%	42%	31%	7%	6%	13%	14	1
Medical expenses (excluding preapprovals)	185	4%	52%	19%	10%	4%	16%	18	1
Medical expenses - pre-approval	339	8%	30%	23%	12%	10%	25%	64	7
Reduction/discontinuance/varianc e/review of weekly payments	233	5%	40%	30%	8%	4%	19%	23	6
Lump sum - economic loss	234	5%	22%	20%	15%	15%	28%	27	7
Lump sum - non-economic loss	471	11%	22%	18%	16%	13%	31%	97	17
Claims relating to a worker's death as a result of a work injury	6	0%	9%	18%	9%	9%	55%	6	1
Decisions pursuant to Workers Rehabilitation and Compensation Act 1986	6	0%	17%	11%	0%	0%	72%	0	0
TOTAL	4332	100%						677	107

#### Notes:

- 1. The number of applications considered by the Tribunal for each category of decision.
- 2. The proportion of the total number of disputes that each category represents expressed as a percentage (of the total number of reviewable decision applications).
- 3. A summary of the time taken for each category of decision between the receipt of the application and its resolution either by way of conciliation or by hearing and determination expressed as a percentage.
- 4. The number of times a Pre-Hearing Conference was scheduled for the first time on a case.
- 5. The number of matters proceeding to a formal Hearing before a Presidential Member.

#### Extension of time applications

In 2021-22, 536 initial applications for extension of time were allowed for the purpose of conducting conciliation. The issue of whether a substantive extension of time should be granted is dealt with if the matter is not resolved at conciliation.

#### Referrals made to Independent Medical Advisers

Where medical questions arise, SAET may, on its own initiative or an application by a party, refer the medical question(s) to one of 44 Independent Medical Advisers (IMAs) for inquiry and report.

There were 39 referrals made during the reporting period.

Table 1.6 Referrals to Independent Medical Advisors

Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	TOTAL
4	2	1	2	5	4	0	3	4	7	3	4	39

# 2. Other Act applications – performance reporting on the year

Table 2.1 provides a summary of other Act applications received and resolved during 2021-22 from other Act applications. There were a total of 1080 applications received and 1064 resolved during the 2021-22 financial year.

Table 2.1 SAET Other Act Applications Received and Resolved

Item	Total
Applications Received	1080
Applications Resolved	
Applications Closed at Registry	125
Applications Resolved at Conciliation	516
Application Resolved at Hearing & Determination	423
Total Applications Resolved	1064

Table 2.2 provides a breakdown of the applications received and resolved during 2021-22 by application type and the time it takes to resolve an application. Resolution time is calculated from the time of lodgement to the time of resolution.

Table 2.2 SAET Other Act Applications Time to Resolution (1)

Application Type	Total Received	Total Resolved	% Resolved 0-3	% Resolved 3-6	% Resolved 6-9	% Resolved 9-12	% Resolved 12+
		201	Months	Months	Months	Months	Months
Monetary Claims	386	391	57%	14%	7%	8%	15%
- State	47	69			-		
- Commonwealth Industrial Disputes	339 <b>84</b>	322 <b>74</b>	39%	9%	12%	12%	27%
Unfair Disputes	76	67					
	1 1 1		82%	9%	3%	0%	6%
Prosecutions	9	8	0%	38%	25%	13%	25%
Apprentices and Traineeships	50	46	85%	11%	2%	0%	2%
- Disputes	45	38					
- Suspensions	5	8					
Public Sector Grievances	35	31	26%	29%	23%	19%	3%
- Reviews	33	31					
<ul> <li>Disciplinary Dispute</li> </ul>	2	0					
Construction Industry Long Service Leave Act 1987	5	, 1	0%	0%	0%	0%	100%
Education and Children's Services Act	1	6	17%	33%	17%	17%	17%
Equal Opportunity Act	2	2	100%	0%	0%	0%	0%
Fire & Emergency Services Act	0	1	0%	0%	0%	0%	100%
Dust Diseases Act	60	76	13%	26%	22%	7%	32%
Recovery Actions	102	54	4%	7%	6%	11%	72%
Work Health and Safety Entry Permits	88	85	100%	0%	0%	0%	0%
Other	107	145	30%	11%	10%	16%	33%
Total	1005	987		N			

Table 2.3 provides a summary of the approval of awards and enterprise agreements during 2021-22.

Table 2.3 Approval of Awards and Enterprise Agreements

Item	Total
Applications Received	75
Applications Closed	77

#### 3. Reviews and Appeals

Part 5 of the SAET Act provides for reviews from decisions of Commissioners and appeals from decisions of Presidential Members. The number of appeals, reviews and referrals of has decreased in this reporting period.

Section 66 allows a decision of a Commissioner to be reviewed by a Presidential Member. In 2021-22 there were 5 reviews compared with 10 in 2020-21. The reviewed decision may be affirmed, varied or set aside.

Section 67 allows a decision of a Presidential Member to be appealed to a Full Bench of SAET. In 2021-22 there were 43 appeals against decisions of a Presidential Member compared with 72 in the previous year.

Section 22 allows a Presidential Member to refer a question of law to the Full Bench. In 2021-22 there was 1 referral.

Section 68 allows for an appeal to the Court of Appeal from a decision of the Full Bench on a question of law if a Judge of the Supreme Court grants permission to appeal. There were 6 matters in 2021-22 where permission was sought to appeal a decision of the Full Bench to the Court of Appeal compared to 8 in 2020-21.

Table 3.1 Reviews and Appeals against SAET decisions

Reviews and Appeals	2020-21	2021-22
Review a decision of a Commissioner (s66)	10	5
Appeal of a decision to the Full Bench (s67)	72	43
Question of law referred to a Full Bench (s22)	2	1
Application to the Court of Appeal to appeal a Full Bench decision (s68)	8	6

# SECTION C: REPORTING OF PUBLIC COMPLAINTS AS REQUESTED BY THE OMBUDSMAN

### **PUBLIC COMPLAINTS**

Public complaints received by SAET	
Category of complaints by subject	Number of instances
Professional behaviour	1
Service delivery	3

### **SERVICE IMPROVEMENTS**

Nature of complaint or suggestion	Services improved or changes as a result of complaints or consumer suggestions	
Professional behaviour	Complaint was not substantiated.	
Service delivery	One complaint was not substantiated.  Explanations provided with no system changes required.	

# **ADDITIONAL METRICS**

	Total
Number of positive feedback comments	-
Number of negative feedback comments	-
Total number of feedback comments	-
% Complaints resolved within policy timeframes	75% (3 out of 4)